



Compliance Officer
Columbia, SC or Cincinnati, OH



CORE
SPECIALTY

THE CORE SPECIALTY ADVANTAGE

Core Specialty offers a diversified range of property and casualty insurance products for small to mid-sized businesses. From underwriting offices spanning the U.S., the Company focuses on niche markets, local distribution, and superior underwriting knowledge, offering traditional as well as innovative insurance solutions to meet the needs of its customers and brokers. Core Specialty is an insurance holding company operating through StarStone Specialty Insurance Company, a U.S. excess and surplus lines insurer, and StarStone National Insurance Company, a U.S. admitted markets insurer. The Company is rated A- (Excellent) by AM Best.

CONTACT US

For more information, please contact:

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CORE SPECIALTY

Essential Duties and Responsibilities:

(Position based in Columbia, SC or Cincinnati, OH)

Assist with the oversight of the compliance function and the compliance monitoring team. Develops and maintains procedures which ensure regulatory, legal and policy compliance in accordance with applicable NAIC and state statutes, regulations and guidance.

Key Accountabilities/Deliverables:

- Assist Head of Insurance Regulatory Compliance in identifying and containing compliance risk, monitoring and fostering a compliance culture.
- Work with Head of Insurance Regulatory Compliance to ensure compliance with applicable laws and regulations; develop, maintain and distribute supporting policies, procedures, agreements and contracts.
- Serve as liaison to business development management, underwriters, brokers and agents; foster good working relationships with internal audit and regulatory agencies affecting assigned business(es).
- Assist the Policy Filings area and Regulatory Compliance Analysts, including monitoring regulatory changes that impact existing insurance programs, policies, certificates, applications, disclosure statements, etc.
- Identify and report all significant regulatory compliance breaches or gaps to Management; work with legal and business management to design and implement changes to business policies and procedures as appropriate.
- Develop external contacts among industry peer groups to determine/validate that the Company is following industry best practice.
- Prepare reports to Management as required.
- Responsible for coaching, developing and motivating direct reports. Responsibilities include but are not limited to: recruitment and selection; employee appraisals and proactively addressing employee performance issues. It is important that these duties are carried out in accordance with Company policies and practices and in conjunction with HR.
- Responsible for effective operation of internal controls and timely closure of Internal Audit and other actions

Experience:

- 10 years proven and progressive financial services operations, compliance and/or legal management experience or equivalent
- Bachelor's degree in business, finance or related field
- Knowledge of and experience with filing Property and Casualty insurance products Project Management skills
- Strong knowledge of assigned businesses, products, operations, systems and organization and full understanding of all significant regulatory compliance requirements applicable to the business.

At Core Specialty we believe that our employees are our most important asset. Helping our employees and their families achieve and maintain good health – physical, emotional, and financial – is the reason Core Specialty offers extremely rich and affordable benefits including, but not limited to medical, dental, vision, and life insurances; short and long-term disability; a Company-match of 100% of a 6% contribution 401(k) plan; an Employee Assistance Plan; Health Savings Account, Flexible Spending Account, Health Reimbursement Account, and gym reimbursement.